

RISK PROFILING FORM

A. IDENTITY DETAILS

PHOTOGRAPH

Please affix the recent passport size photographs and sign across it

1. Name of the Applicant: _____
2. Individual / Non- Individual: _____
3. Father / Spouse Name: _____
4. a. Gender: Male/ Female: _____
 b. Marital Status: Married / Single: _____
 c. Date of Birth: (DD/MM/YYYY): _____ d. Age (in yrs): _____
5. a. Nationality: _____ b. Status (Resident Individual/ NRI / Foreign National) : _____
6. a. PAN No: _____ (self-attested copy of PAN Card) b. UID / Aadhaar No: _____
7. Specify the proof of address submitted for permanent address: _____

Proof of Address (POA): list of documents admissible as POA: (Any 1): Passport / Voter's ID card / Ration Card / Driving License

B. ADDRESS DETAILS

1. Address for correspondence:
 City/Town/Village: _____ Pin Code: _____
 State: _____ Country: _____
2. Contact Details: Tel. _____ Mob. No. _____
 Email Id: _____
3. Permanent Address:
 City/Town/Village: _____ Pin Code: _____
 State: _____ Country: _____

C. OTHER DETAILS

1. Approximate Gross Annual Income Details: Income range per Annum –

Below Rs. 1 Lac	1 – 5 Lac	5 – 10 Lac	10 – 20 Lac	20 – 25 Lac	25 Lac & Above
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OR

Net Worth as on Date _____ (Net Worth should not be older than 1 Year)

2. Occupations (please tick any one and give brief details): Private Sector/ Public Sector/ Government Service/ Business/ Professional/ Agriculturist/ Retired/ Housewife/ Student/ Others

3. Please tick if applicable: Politically Exposed Person (PEP) / Related to a Politically Exposed Person (PEP) (YES / NO) _____

4. Any Other Information: _____

D. RISK PROFILE

1. Trading Part time / Full Time in the Market: _____

2. Existing Investment / Assets: _____

3. Segment : (Equity / Commodity/ Derivatives): _____

4. Intra-Day / Delivery: _____

5. Approximate Capital involved in Trading : _____

6. Brokerage: Equity _____ Commodity _____ Derivatives _____

7. Bearable Loss: _____ Max. Loss: _____

8. Details of Borrowings, if any: _____

9. Investment Goal: (a) Capital Appreciation (b) Regular Income (c) Capital Appreciation and Regular Income

10. Preferred Investment Horizon: (a) Short Term (3-30 days) (b) Medium Term (1 to 5 months) (c) Long Term

E. DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

SIGNATURE OF THE APPLICANT

DATE

PLACE

F. DISCLAIMER AND TERMS

1. Adaaf Advisory Services respects and values the right to privacy of each and every individual.
2. By filling out this form you are agreeing to comply with and be bound by the following terms and conditions of use along with the terms and conditions posted on the website of Adaaf Advisory Services, which together with our privacy policy govern Adaaf Advisory's relationship with you in relation to the website.
3. By signing the form above, you agree to provide us your valid mobile number. Also by providing your mobile number, you provide us the consent to call you on the number provided by you and send SMS on your mobile number. You provide your consent for us to use your mobile numbers even if it is registered on the National 'Do Not Disturb' registry.
4. Adaaf Advisory Services as a merchant shall be under no liability whatsoever in respect of any loss or damage arising directly or indirectly out of the decline of authorization for any Transaction, on Account of the Cardholder having exceeded the preset limit mutually agreed by us with our acquiring bank from time to time.
5. Adaaf Advisory is SEBI Registered No. INH000001683 Research Analyst.

G. INSTRUCTIONS

- a. Fill out the form in its entirety and put signature on all the pages along with the supporting documents.
- b. Send the completed form along with required document to **102, 1st Floor, Topaz Society, Dr. Nair Road, Agripada, Mumbai Central (E), Mumbai 400011.**

FOR OFFICE USE ONLY

Verified By

Date:

Signature of Authorised Signatory

(For Adaaf Advisory Services)